

GP 2152

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PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/849,176	
	Filing Date	May 4, 2001	
	First Named Inventor	Michael E. Gaddis	
	Group Art Unit Number	2152	
	Examiner Name	Not yet known	
Total Number of Pages in This Submission	6*	Attorney Docket Number	22013-05976

ENCLOSURES (check all that apply)

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Signature:	<i>Laura Majerus</i>		
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APPLICANT(S): Michael E. Gaddis, David Barmann, Peter N. Hicks,
Michael A. Brown

APPLICATION NO.: 09/849,176

FILING DATE: May 4, 2001

TITLE: METHOD AND SYSTEM FOR SENDING INFORMATION ON
AN EXTRANET

EXAMINER: Not Yet Known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 22013-05976

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INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either:
- [1] a final action under 37 CFR § 1.113; OR
 - [2] a notice of allowance under 37 CFR § 1.311; AND
- in accordance with the requirements of 37 CFR § 1.97(d):
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

☒ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
MICHAEL E. GADDIS, DAVID BARMANN,
PETER N. HICKS, MICHAEL A. BROWN

Dated: Sept 27, 2001

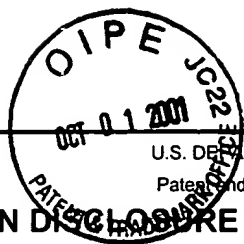
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- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;
OR
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
- (1) a final action under 37 CFR § 1.113; OR
 - (2) a notice of allowance under 37 CFR § 1.311; AND
- ☐ attached hereto is the fee of \$180, as set forth under 37 CFR § 1.17(p), for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.

OR



FORM PTO-1449

(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.

22013-05976

Serial No.

09/849,176

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Michael E. Gaddis et al.

Filing Date

May 4, 2001

Group Art Unit

2152

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

RECEIVED**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A	Braden, R., et al. "Integrated Services in the Internet Architecture: an Overview", pp. 1-34, June 1994. Retrieved from the Internet: http://sunsite.dk/RFC/rfc/rfc1633.html Retrieved on March 6, 2001.
B	Nichols, K., et al. "A Two-bit Differentiated Services Architecture for the Internet", pp. 1-27, July 1999. Retrieved from the Internet: http://sunsite.dk/RFC/rfc/rfc2638.html Retrieved on March 6, 2001.
C	Blake, S., et al. "An Architecture for Differentiated Services", pp. 1-37, December 1998. Retrieved from the Internet: http://sunsite.dk/RFC/rfc/rfc2475.html Retrieved on March 6, 2001.
D	Shenker, S. et al. "Specification of Guaranteed Quality of Service", pp. 1-21, September 1997. Retrieved from the Internet: http://sunsite.dk/RFC/rfc/rfc2212.html Retrieved on March 6, 2001.
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F	ANX: RADGUARD and the Automotive Network eXchange, [online] Page 1 [retrieved March 30, 2000] Retrieved from: http://www.ciprovpn.com/ANXexplained.html
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H	What is the EIN?, [online] Enron Broadband Services [retrieved May 6, 2000] Copyright 1997-2000 Enron Corp., Pages 1-2, Retrieved from: http://www.enron.net/isp/ein
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L	Imentation Corporation Home, [online], Pages 1-2, Copyright 1999, [retrieved March 30, 2000], Retrieved from: http://www.imation.com/index.html
M	CoreExpress Guarantees Quality Internet Service, [online], Forbes.com: 4/13/00 – News, Pages 1-2, TM © 2000 Forbes.com, [retrieved May 4, 2000], Retrieved from: http://www.forbes.com/tool/html/00/apr/0413/mu5.htm

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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INFORMATION DISCLOSURE CITATION

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DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket No.
22013-05976Serial No.
09/849,176Applicant
Michael E. Gaddis et al.Filing Date
May 4, 2001Group Art Unit
2152

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

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FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

N	Kathleen Cholewka, CoreExpress Guarantees Quality Internet Service, April 13, 2000, Page 1, Received from: CoreExpress via fax on May 4, 2000, Forbes Digital Tool www.forbes.com © 2000 Forbes Inc.
O	Denise Pappalardo, New services extend VPN assurances, The network portal: www.nwfusion.com , Pages 1-3, Received from: CoreExpress via fax on May 4, 2000
P	RFC 2764, Gleeson, et al., IP Based Virtual Private Networks, Informational, [online], February 2000, Pages 1-63, [retrieved on March 30, 2000] Retrieved from: http://sunsite.auc.dk/RFC/rfc/rfc2764.html
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